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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

5

Application Number

10/019,101

Filing Date

December 20, 2001

First Named Inventor

Clifford Hannel

Art Unit

2161

Examiner Name

Etienne Pierre Leroux

Attorney Docket Number

PA3545US

**ENCLOSURES**

(Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☐

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐Reply to Missing Parts/  
Incomplete Application☐Reply to Missing Parts  
under 37 CFR 1.52 or 1.53☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐Petition to Convert to a  
Provisional Application☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

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CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD☐

After Allowance Communication to TC

☐Appeal Communication to Board  
of Appeals and Interferences☐Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)☐

Proprietary Information

☐

Status Letter

☒Other Enclosure(s) (please identify  
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1) Confirmation postcard;

2) Request for Corrected Filing Receipt  
(2pp);

3) Copy of Filing Receipt (2pp).

Remarks

Total page number does not include postcard.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Carr &amp; Ferrell LLP

Cust. No. 22830

Signature

Printed name

Tam Thanh Pham

Date

August 21, 2008

Reg. No.

50,565

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Date

August 21, 2008

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE


APPLICANTS: Clifford Hannel, et al.  
APPLICATION NO.: 10/019,101  
FILED: December 20, 2001  
TITLE: Query Interface to Policy Server  
EXAMINER: Etienne Pierre Leroux  
GROUP ART UNIT: 2161  
CONFIRM. NO.: 8647  
ATTY.DKT.NO.: PA3545US

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Dated: August 21, 2008

  
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Tam Thanh Pham, Reg. No. 50,565

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OFFICE OF INITIAL PATENT EXAMINATION'S  
FILING RECEIPT CORRECTIONS  
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P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

SIR:

Applicants hereby request that the United States Patent and Trademark Office correct its records and issue a corrected filing receipt showing the correct priority data for the above-referenced application. The correct priority data should be:

10/019,101 claims priority benefit of PCT/US00/17078 06/21/2000  
which claims priority benefit of 60/140,417 06/22/1999  
10/019,101 is a Continuation-in-Part of 09/720,277 03/12/2001  
which claims priority benefit of PCT/US99/14585 06/28/1999  
PCT/US99/14585 claims priority benefit of 60/091,130 06/29/1999  
09/720,277 is a Continuation-in-Part of 09/034,507 03/04/1998 6,408,336  
which claims priority benefit of 60/039,542 03/10/1997  
and 60/040,262 03/10/1997

This request corresponds to the Request for Continued Examination that was filed on March 1, 2006.

For reference purposes, Applicants enclose a copy of the incorrect filing receipt with the desired corrections indicated.

Applicants believe there is no fee for this request. The Commissioner is, however, authorized to charge any additional fees which may be required to Deposit Account No. 06-0600.

Respectfully submitted,  
Clifford Hannel, et al.

Dated: August 21, 2008

By:   
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/019,101	12/20/2001	2172	50	intdyn01.021		14	1

Gordon E Nelson  
57 Central Street  
PO Box 782  
Rowley, MA 01969



CONFIRMATION NO. 8647

## FILING RECEIPT



\*OC00000007946381\*

Date Mailed: 04/24/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Clifford Lee Hannel, Thousand Oaks, CA;  
Anthony May, Woodland Hills, CA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/US00/17078 06/21/2000

## Foreign Applications

UNITED STATES OF AMERICA 60/140417 06/22/1999

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Query interface to policy server

Preliminary Class

→ which claims priority benefit of 60/140,417 06/22/1999  
10/019,101 is a CIP of 09/120,277 03/12/2001  
which claims priority benefit of PCT/US99/14585 06/28/1999  
PCT/US99/14585 claims priority benefit of 60/091,130 06/29/99  
09/120,277 is a CIP of 09/034,507 03/04/1998 6,408,336  
which claims priority benefit of 60/039,512 01/10/1997  
and 60/040,262 03/10/1997

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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